

FORM T-4B SUBMITTAL GUIDELINES

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INSTRUCTIONS

When submitting Form T-4B Pipeline Transfer Certification, there are specific requirements that need to be met. This document outlines these requirements and serves as a guide to submitting Form T-4B Pipeline Transfer Certification. These requirements come from Railroad Commission of Texas (Commission, RRC) Rule 16 Texas Administrative Code (TAC) §3.70.

To read the TAC rules, visit the Texas Secretary of State's website and navigate to <u>16 TAC</u> §3.70.

BEFORE YOU START

Before you start, remember:

- Recall that Form T-4B is required when submitting a permit application related to a transfer.
- Review and understand 16 TAC §3.70.
- Review and understand the Pipeline Permit Guidance Sheet.
- Identify whether you will be completing the form on paper, or online using Adobe Acrobat Reader or your computer browser (Google Chrome is preferred).

PURPOSE OF FILING

The Form T-4B Pipeline Transfer Certification is used to confirm a pipeline transfer from one operator to another. It can be used to confirm either the total or partial transfer of a pipeline system.

WHO FILES

Both the Acquiring Operator and the Divesting Operator must submit Form T-4B when filing a permit application related to a transfer.

WHEN TO FILE

Operators must attach the Form T-4B Pipeline Transfer Certification as a PDF when submitting a permit application related to a transfer in the Pipeline Online Permitting System (POPS).

WHERE TO FILE

Operators must attach the Form T-4B Pipeline Transfer Certification as a PDF with every permit application related to a transfer in POPS. For help submitting permit applications, refer to the POPS User Guide.

HOW TO FILE

The Acquiring Operator and the Divesting Operator must both fill out the corresponding sections of Form T-4B. Both sections must be filled out and signed before either operator submits a permit application related to a transfer in POPS.

ACQUIRING OPERATOR INFORMATION

The Acquiring Operator must fill out the **ACQUIRING OPERATOR INFORMATION** section.

ACQUIRING OPERATOR INFOR	NOI AIVA			
Acquiring Operator Name				P-5 Number
Mailing Address		City	State	Zip Code
As the Acquiring Operato	or input your compa	any's contact i	nformation	
		•		
Next, identify whether the corresponding question in Divesting Operator fills it	in the DIVESTING C	•		
Is this a total transfer of the Perm	it Number listed below in A 0	CQUIRING OPERA	TOR CERTIFICA	ATION? Yes
Are you adding the pipelines to a	n existing permit, keeping th	nem on the original	Permit Number, o	or applying for a new permit?
Adding to Existing Permit Num	ber #####	Keeping the C	riginal Permit Num	Applying for a New Peri
Select whether you are a original permit number, of to an existing permit, you acquiring operator certific. I am now responsible for the regulator	or applying for a new unust input the peri	permit. If you mit number that	are adding	the acquired pipeline(s)
currently operating under the here-sta	ted Permit Number.	Pe	rmit Number	Effective Date of Transfer
responsible for the regul	atory compliance of	the acquired p	pipeline(s). T	Then, input the Permit
responsible for the regulation Number that is being train HINT! The Permit Number	atory compliance of nsferred along with t mber and Effective [the acquired paths Effective Control Date of Transfe	pipeline(s). To ate of Transer in the ACC	Then, input the Permit ifer. QUIRING OPERATOR
responsible for the regulation Number that is being train HINT! The Permit Number	atory compliance of nsferred along with t mber and Effective [the acquired paths Effective Control Date of Transfe	pipeline(s). To ate of Transer in the ACC	Then, input the Permit ifer. QUIRING OPERATOR
responsible for the regulation Number that is being train HINT! The Permit Number	atory compliance of nsferred along with t mber and Effective [the acquired paths Effective Control Date of Transfe	pipeline(s). To ate of Transer in the ACC	Then, input the Permit ifer. QUIRING OPERATOR
Read and understand the responsible for the regulation Number that is being training the Permit Number	atory compliance of nsferred along with t mber and Effective I ESTING OPERATO	the acquired paths Effective Control Date of Transfe	pipeline(s). To ate of Trans er in the ACC TION section	Then, input the Permit ifer. QUIRING OPERATOR
responsible for the regulation Number that is being train HINT! The Permit Nui INFORMATION and DIV	atory compliance of nsferred along with t mber and Effective I ESTING OPERATO	the acquired place the Effective Description of Transfering or Transfering or The INFORMA	pipeline(s). To ate of Trans er in the ACC TION section	Then, input the Permit offer. QUIRING OPERATOR os must match.

Finally, complete the section by inputting your contact information as the Acquiring Operator employee submitting Form T-4B. You must sign the form either physically in blue or black ink, or digitally using a tool such as Adobe Acrobat Reader's Sign tool. (Note that either the Acquiring Operator or Divesting Operator—but not both—can sign the form using Adobe Acrobat Reader's Sign tool since that will lock the PDF from any digital changes.)

If the Divesting Operator has already filled out the **DIVESTING OPERATOR INFORMATION** section, the form is ready to attach in POPS. If they have not filled out the **DIVESTING OPERATOR INFORMATION** section, have them fill it out, sign the form, and send you a copy since both the Acquiring Operator and Divesting Operator must attach a fully completed Form T-4B in POPS when filing a permit application related to a transfer.

DIVESTING OPERATOR INFORMATION

The Divesting Operator must fill out the **DIVESTING OPERATOR INFORMATION** section.

DIVERTING OPERATOR INTORNATION						
DIVESTING OPERATOR INFORMATION						
Divesting Operator Name					P-5 Number	
Mailing Address	City		State		Zip Code	
As the Divesting Operator, input your company's contact information.						
Is this a total transfer of the Permit Number listed below in DIVE	STING OPE	RATOR CE	RTIFICATION?		Yes No	
If No, list the names (or other identification) of the pipeline(s) bei	ng transferre	ed along with	h each pipeline's	mileage	e:	
Names and Mileage of Transferred Pipelines (if you need more space th	an is provided	here, attach	another page whe	en submitt	ting Form T-4B)	
Identify whether this is a total transfer of the permit. Your selection must match the corresponding question in the ACQUIRING OPERATOR INFORMATION section.						
If this is not a total transfer, input the names and pipeline mileage of each pipeline that is being transferred to the Acquiring Operator into the Names and Mileage of Transferred Pipelines field.						
HINT! If you need more space than is provided on the form, you can attach a separate document that details this information in POPS when submitting your application.						
DIVESTING OPERATOR CERTIFICATION: As the Divesting Consists	Loortify that					
DIVESTING OPERATOR CERTIFICATION : As the Divesting Operator, operating responsibility for the above-listed pipeline(s) currently operating						
here-stated Permit Number is transferred to the Acquiring Operator.		Permit Num	ber	Effect	ive Date of Transfer	

Read and understand the Divesting Operator Certification, which states that you are relinquishing responsibility for the regulatory compliance of the divested pipeline(s). Then, input the Permit Number that is being transferred along with the Effective Date of Transfer.

HINT! The Permit Number and Effective Date of Transfer in the **ACQUIRING OPERATOR INFORMATION** and **DIVESTING OPERATOR INFORMATION** sections must match.

Name of Reporting Official	Signature of Reporting Official			Date Signed
Title of Reporting Official	Phone Number	Extension	Email Address	

Finally, complete the section by inputting your contact information as the Divesting Operator employee submitting Form T-4B. You must sign the form either physically in blue or black ink, or digitally using a tool such as Adobe Acrobat Reader's Sign tool. (Note that either the Acquiring Operator or Divesting Operator—but not both—can sign the form using Adobe Acrobat Reader's Sign tool since that will lock the PDF from any digital changes.)

If the Acquiring Operator has already filled out the **ACQUIRING OPERATOR INFORMATION** section, the form is ready to attach in POPS. If they have not filled out the **ACQUIRING OPERATOR INFORMATION** section, have them fill it out, sign the form, and send you a copy since both the Acquiring Operator and Divesting Operator must attach a fully completed Form T-4B in POPS when filing a permit application related to a transfer.